



Department of Energy Executive Safety Conference

Executive Safety Conference Breakout Session 1

Coordination and Integration of DOE Line And Independent Oversight and Contractor Self-Assessment

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Session Chair

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Department of Energy Executive Safety Conference

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Coordination and Integration of DOE Line And Independent Oversight and Contractor Self-Assessment

Panel Members & Presentation Topics

Glenn Podonsky:	Reducing Layers & Redundancy In DOE Oversight
Emil Morrow:	Coordinating Line and Independent Oversight Schedules
Dave McGraw:	Voluntary Accreditation of Contractor Self-Assessment Programs
Leah Dever/Ken Powers:	Focusing DOE Oversight on Contractor Self-Assessment and Performance Indicators vs. Direct Oversight of Workers
Charles Shank:	A Lab Director's Perspective
Milt Johnson:	Summary and Path Forward

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1. Agreement on General Principles for Line and Independent Oversight:

- DOE P 450.4 continues to be an effective policy to follow for line oversight.
 - A vigorous contractor self-assessment program is the cornerstone.
 - DOE line oversight and contractor self-assessments ensure ISM is effectively implemented.
 - DOE line oversight is cost effective, coordinated, integrated, and efficient.
 - Independent oversight complements DOE line oversight.
- DOE oversight is risk-based and performance-based. Use contractors own performance based management systems to evaluate risk and set priorities.

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2. Agreement on Improving Efficiencies:

- **Ensure an integrated planning approach for all oversight activities: including the principle of a single point of entry to contractor.**
- **Better define roles and responsibilities for oversight, recognizing that each organization (contractor, DOE field element, DOE program office, DOE independent oversight) has an important and unique contribution to oversight.**
- **Benchmark oversight costs.**
- **Link oversight frequency and performance record.**



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3. Agreement on Improving Contractor Self-Assessment Programs:

- **Identify and share best practices in DOE contractor self-assessment programs.**
- **Explore certification program for DOE contractors. There must be incentives associated with certifications; including equivalencies (e.g., external certifications)**
- **Evaluate existing certification programs.**
- **Pilot with several volunteers.**
- **Encourage peer reviews as a mechanism for self-assessment.**